

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

FOX ET AL.

Serial No. 09/500,108

Filing Date: February 8, 2000

For: SYSTEM AND METHOD FOR ASSESSING THE SECURITY POSTURE OF A NETWORK

# SUBMISSION OF AN INFORMATION DISCLOSURE STATEMENT UNDER §1.97(c) & (e)

Director, U.S. Patent and Trademark Office Washington, DC 20231

#### Sir:

Attached is a form PTO-1449 listing several references which may be considered material to the examination of the above-identified application. A copy of each reference is also enclosed.

Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

- (1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or
- (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to

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h re Patent Application of:
OX ET AL.

Serial No. 09/500,108
Filing Date: 02/08/2000

any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97(c) and (e).

Respectfully submitted

RICHARD K. WARTHER

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#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: DIRECTOR, U.S. PATENT & TRADEMARK OFFICE, WASHINGTON, DC 20231, on this 24 day of December, 2001.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: FOX ET AL.

Serial No. 09/500,108

Filing Date: February 8, 2000

Confirmation No. 2137

For: SYSTEM AND METHOD FOR ASSESSING THE SECURITY POSTURE OF A NETWORK

Examiner: K. Abrishamkar

Art Unit: 2131

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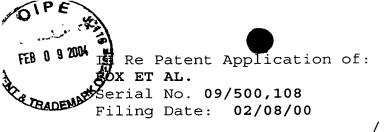
**Technology Center 2100** 

# CITATION UNDER 37 CFR §1.97(c)

Mail Stop FEE Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a form PTO-1449 listing several references for consideration in the examination of the above-identified application. These prior art references were located by the Examiner in the copending and related application serial no. 09/500,269, filed February 8, 2000, its title listed on page 31 of the detailed description of the present application. A copy of each reference is also enclosed. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.



This submission is made in accordance with the provisions of 37 CFR §1.97(c). Authorization is hereby given to charge the \$180.00 processing fee to Harris Corporation Deposit Account No. 08-0870. If any additional fee is required, please charge Deposit Account No. 08-0870.

Respectfully submitted,

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## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MAIL STOP FEE AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on this  $3^{19}$  day of February, 2004.

Julii Zalaw